1	The scope of antimicrobial resistance in Residential Aged Care Facilities determined
2	through analysis of Escherichia coli and the total wastewater resistome
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17	ABSTRACT
18	High and often inappropriate antibiotic use has been documented for residential aged care
19	facilities (RACFs). As a result, RACFs represent selective environments favoring the
20	development of antimicrobial resistance (AMR). However, surveillance of the prevalence and
21	scope of AMR in RACFs is limited. Here, wastewater-based epidemiology encompassing
22	culture-based methods in combination with whole genome sequencing and metagenomics
23	allowed for the in-depth analysis of the prevalence of antimicrobial-resistant Escherichia coli

24 in two RACFs and one Retirement facility. Wastewater was collected at five different time 25 points over 18 months. From these, E. coli were isolated and assessed for phenotypic and 26 genotypic resistance. The antimicrobial resistome of each wastewater sample was also 27 determined. A comparison of facilities revealed a higher prevalence of AMR and multidrug-28 resistant E. coli observed in one RACF. The international high-risk E. coli clone, ST131, 29 carrying CTX-M-like extended-spectrum beta-lactamases was exclusively isolated from this 30 facility. A high proportion of these isolates were classified as belonging to phylogroups B2 31 and D, associated with virulent extra-intestinal E. coli infections. The resistome of this 32 facility also revealed a higher prevalence of mobile resistance genes such as *sull* and *sul2*, 33 conferring sulfamethoxazole resistance, the plasmid-mediated quinolone-resistance (PMRQ) 34 gene qnrS, and qacEdelta1, that confers biocide resistance. High fluoroquinolone resistance 35 rates were observed in all three sample sites despite measures in place limiting the use of this 36 class of antibiotics. The findings of this study illustrate that RACFs are highly selective 37 environments that require measures to limit AMR development, potentially through 38 antimicrobial stewardship.

39

40 **IMPORTANCE**

Antimicrobial resistance (AMR) is a global threat that imposes a heavy burden on our health and economy. Residential aged care facilities (RACFs), where frequent inappropriate antibiotic use creates a selective environment that promotes the development of bacterial resistance significantly contribute to this problem. We used wastewater-based epidemiology to provide a holistic whole-facility assessment and comparison of antimicrobial resistance in two RACFs and a retirement village. Resistant *E. coli*, a common and oftentimes problematic pathogen within RACFs was isolated from the wastewater and the phenotypic and genotypic AMR was determined for all isolates. We observed a high prevalence of an international high-risk clone, carrying an extended-spectrum beta-lactamase in one facility. Analysis of the entire resistome also revealed a greater number of mobile resistance genes in this facility. Finally, both facilities displayed high fluoroquinolone resistance rates – a worrying trend seen globally despite measures in place aimed at limiting their use.

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54 KEYWORDS Antimicrobial resistance, wastewater-based epidemiology, *Escherichia coli*,
55 multidrug resistance, metagenomics

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57 INTRODUCTION

58 Antimicrobial resistance (AMR) is an urgent and global threat [1], with recent reports 59 estimating that approximately 4.95 million AMR associated deaths occurred in 2019 [2]. 60 Continual AMR development is also speculated to add to the global economic burden, as 61 projections indicate that 300 million people will lose their lives to AMR over the next 35 62 years, resulting with a loss of 60 to 100 trillion USD worth of economic output [1]. In 63 addition to increased mortality rates, AMR can lead to increased duration of illness 64 particularly for those who are immunocompromised [3, 4]. In the elderly population, where 65 individuals are at an increased risk of incidence and severity of bacterial infections, AMR 66 bacteria contribute significantly to the burden of infection, with infectious diseases 67 accounting for one third of all deaths in those aged 65 years and over [5-7].

A significant contributor to the development and spread of AMR is the overuse and misuse of antibiotics, which promotes the selection of AMR bacteria [8-11]. Residential aged care facilities (RACFs) exemplify the overuse and frequent inappropriate use of antibiotics [12-14]. This coupled with the vulnerability of the residents resulting from comorbidities, a 72 compromised immune system, close living proximities, hospital visits and frequent healthcare 73 worker contact, facilitate the spread of resistant bacteria and promote a high infection burden 74 among the residents [15-17]. As such, RACFs and residents themselves have been identified 75 as important reservoirs for the development of emerging AMR and multidrug resistant 76 (MDR) bacteria [18].

77 Surveillance has often been limited to healthcare setting such as hospitals, where pathogens 78 isolated from clinical infections are reported [19, 20]. Surveillance studies of these in 79 wastewater from RACFs are scant [21]. Studies assessing AMR in RACFs are resident 80 focussed and, although vital, are fraught with limitations regarding the number of residents 81 included in each study, and as such, do not always represent the facility as a whole. 82 Nonetheless, such studies have reported a high prevalence of MDR extended-spectrum beta-83 lactamase (ESBL) producing Escherichia coli [22, 23]. A point prevalence survey of three 84 Melbourne based RACFs revealed that 27 % of residents were colonised with ESBL-85 producing E. coli, which were clonal in nature [22]. An increasing prevalence of ESBL-86 producing E. coli has also been observed in aged care facilities in Germany [24, 25], Japan 87 [26] and the Netherlands [27]. ESBL-producing ST131 E. coli were also observed in aged 88 care facilities in Germany [24] and Portugal [28]. This clonal type, also referred to as a 89 worldwide pandemic clone [29], has spread rapidly throughout different parts of the world 90 since its discovery in 2008 [30]. In addition to ESBL expressing ST131, a UK study has also 91 found fluoroquinolone-resistant but ESBL lacking ST131 strains carrying the plasmid-92 mediated *aac(6')-Ib-cr* gene, which reduced susceptibility to ciprofloxacin [31], suggesting a 93 split and continual emergence of ST131. In Australia, rates of E. coli found to be resistant to 94 beta-lactams such as ceftriaxone and fluoroquinolones such as ciprofloxacin continue to 95 increase, despite restrictions being in place to limit access to these agents [32]. The 96 prevalence of these underscores the importance of surveillance studies in RACF, with the use97 of wastewater-based surveillance offering an insight into the facility as whole.

98 Therefore, the aim of this study was to utilise wastewater-based epidemiology, consisting of 99 microbial culturing, whole genome sequencing and metagenomics to investigate AMR within 100 two Adelaide RACFs. The use of wastewater in this thorough investigation has circumvented 101 one of the most common limitations in studies of AMR in RACFs - low recruitment numbers 102 of participants - and allowed for a holistic approach to the assessment of AMR in entire 103 RACFs. This study demonstrates the importance of surveillance studies focussing on AMR 104 within RACFs. Housing a vulnerable older population, the development of bacterial 105 resistance within these facilities contributes to a growing burden of infection for which 106 treatment may become more limited. As an ageing population, with 1 in 6 estimated to be 107 aged over 60 years old by 2030 [33], the development of AMR within RACFs, as such, is 108 area of great concern. This study exemplifies a novel approach to the assessment of AMR 109 within RACFs, as the use of wastewater, microbial culturing, whole genome sequencing and 110 metagenomics to assess the development of microbial resistance has not previously been 111 undertaken in this setting. The inclusion of one facility which has implemented an 112 antimicrobial stewardship (AMS) program and another which has not, also allowed for an 113 assessment of the effect that an AMS program may have on the prevalence of AMR bacteria 114 within a facility.

115

116 MATERIALS AND METHODS

117 Sampling

Wastewater was sampled from two RACFs (Facility 1 with 170 beds and Facility 2 with 70
beds) and one Retirement village (38 apartments with residents of similar age to those from

the RACFs) in Adelaide. All sites are managed by the same service provider and are located within a 20 km radius of each other. Of the two RACFs Facility 2 has had an AMS program (Supplemental 1) implemented for 3 years prior to this study, whilst Facility 1 does not have AMS program implemented. As both aged care facilities are managed by the same care provider, they are comparable in the amenities and care provided. Both facilities have longterm residents in addition to respite care.

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Wastewater samples were collected at approximately three-month intervals at five different time points from October 2019 to February 2021. Grab samples of approximately 200 ml were collected every hour over a 10-hour period, with collection starting at 7 am to capture the morning routine. These were collected from an access point which captured all of wastewater from the RACF, before it flowed into the main sewage system. Samples were then stored on ice and pooled for analysis. Sampled wastewater was transported to the laboratory on ice, stored at 4 °C and analyzed on the day of collection.

134

135 Isolation of Escherichia coli

136 AMR E. coli isolates were screened on selective and differential plates. For isolation of E. 137 coli from wastewater, 100 µl wastewater (neat and 1:10 dilution) was plated onto selective 138 and differential plates. Presumptive identification of E. coli was determined using two sets of 139 BrillianceTM E. coli/coliform (CM0956, Oxoid, Australia) plates supplemented with either 1 140 mg/L ceftazidime or 0.5 mg/L of ciprofloxacin and Tergitol 7 (CM0793, Oxoid) plates 141 supplemented with 0.5 mg/L ciprofloxacin. Cultures were incubated at 37 °C for 18 h. 142 Following colony purification, identification was verified by matrix-assisted laser 143 desorption/ionization time-of-flight mass spectrometry (MALDI-TOF) (Bruker Daltonik 144 GmbH, Bremen, Germany). Confirmed E. coli isolates were stored at -80 °C in Tryptone 145 Soya broth (CM0129, Oxoid) supplemented with 20 % (v/v) glycerol.

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147 Antimicrobial susceptibility testing

148 Antibiotics assessed in this study included cefepime, ceftazidime, piperacillin-tazobactam, 149 gentamicin, meropenem, trimethoprim-sulfamethoxazole, colistin (ChemSupply, Australia) 150 and ciprofloxacin (Sigma-Aldrich, Australia). The Minimum Inhibitory Concentration (MIC) 151 of each antibiotic assessed in this study was determined using the broth dilution method as 152 described by the ISO standard [34-36]. Briefly, a 96 well microtiter plate was prepared with 153 twofold serial dilutions of the antimicrobial agent. Cultures at an OD₆₀₀ of 0.0025 nm were 154 used as an inoculum using Mueller Hinton broth as a growth medium. E. coli ATCC 25922 155 was used as a quality control strain. The 96 well microtiter plates were incubated at 37 °C 156 with 120 rpm shaking for 16 h. Resistance/susceptibility was determined by measuring 157 bacterial growth by optical density at 600 nm using a Thermofisher Multiskan FC photometer 158 (Thermofisher, Australia) using E. coli ATCC25922 for quality control. Epidemiological 159 cutoff values, as reported by the European Committee on Antimicrobial Susceptibility 160 Testing (https://mic.eucast.org/search/) were used to distinguish wild type from non-wild type 161 isolates. In this manuscript, the terms "susceptible" and "resistant" are used to refer to "wild 162 type" and "non-wild type". Moreover, resistance to at least one antimicrobial agent in ≥ 3 163 antimicrobial categories were considered as MDR [37]. To ensure assessment was not carried 164 out on duplicate *E. coli* isolates, the resistance profile of all *E. coli* was evaluated. Isolates 165 observed to have the same resistance profile and that were isolated from the same site at the 166 same time-point were subsequently eliminated from further assessment.

167

168 **DNA extraction**

169 *Genomic extraction for whole genome sequencing*

Following colony purification, a single colony was incubated in LB broth and grown at 37 °C
overnight. Bacterial cells were obtained by centrifugation (5000 x g, 5 min) of 5 ml of culture
broth. Genomic DNA was extracted from the pellet using the MN NucleoSpin®Microbial
DNA kit (Machery-Nagel GmbH and Co.KG, Duren, Germany), following manufacturer's
instructions. DNA quality and quantity were assessed using a Cytation5 imaging reader
(BioTek instruments, Winoosi Vermont, USA). Extracted genomic DNA was stored at -20 °C
[35, 38].

177

178 *Genomic extraction for shotgun metagenomic sequencing*

Wastewater samples (100 ml) were initially filtered through an 8 µm cellulose nitrate filter to remove debris and then through a 0.2 µm pore size cellulose nitrate filter (both from Sartorius, Goettingen, Germany). Following filtration, genomic DNA was extracted from the membranes using the DNeasy® PowerWater kit (Qiagen, Hilden, Germany), following manufacturer's instructions. DNA extracts were stored at -20 °C prior to being analyzed by metagenomic sequencing. DNA quantity and quality were assessed spectrophotometrically using the Cytation5 imaging reader (BioTek instruments, Winoosi Vermont, USA) and theTake3 MicroVolume plate.

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Whole genome sequencing and bioinformatic analysis

189 Whole genome sequencing (WGS) was performed at SA Pathology (Adelaide, Australia) 190 using the Illumia NextSeq platform. Sequencing libraries were prepared using the Nextera 191 XT DNA library preparation kit (Illumina Inc., USA) as per manufacturer's instructions. 192 WGS was performed on the Illumina NextSeq 550 platform with the NextSq 500/550 Mid-193 Output kit v2.5 (300 cycles) (Illumina Inc). Raw 150 bp paired-end reads were used as input 194 data for the TORMES v.1.2 [39] pipeline for the analysis of whole bacterial genomes. This 195 included sequence quality filtering (PRINSEQ v.0.20.4) [40], de novo genome assembly 196 (SPAdes v.13.4.1) [41] and annotation (Prokka v1.14.5) [42]. E. coli multilocus sequencing 197 typing (MLST) profiles were predicted using mlst v2.10 (T. Seemann, 198 https://github.com/tseemann/mlst) which uses the PubMLST database [43]. AMR genes were 199 screened using ABRicate (T. Seemann, https://github.com/tseemann/abricate) against the 200 ResFinder [44], Comprehensive Antibiotic Resistance Database (CARD) [45] and ARG-201 ANNOT [46], databases. Additional software such PointFinder [47] was used to screen for 202 chromosomal point mutations, and PlasmidFinder [48] was used for plasmid replicon 203 screening. Finally, FimTyper [49] and SeroTypeFinder [50] were used to type E. coli isolates, 204 whilst in silico phylogroup determination was carried out by ClermonTyping [51]. E. coli 205 AMR determinants and plasmids were further analyzed using NCBI Blast [52].

206

A maximum-likelihood phylogenetic tree was constructed to assess *E. coli* clonality. Core genome single nucleotide polymorphisms (SNPs) were identified using CSI phylogeny 1.4 [53]. Reads from each *E. coli* isolate were aligned to a reference genome EC958 (GenBank accession no. NZ_HG941718.1). EC958 is an international MDR *E. coli* O25b:H4-ST131 clone which produces the CTX-M-15 ESBL and is fluroquinolone resistant [54]. The output file was used to annotate and visualize a phylogenetic tree using the interactive tree of life v.6 (iTOL) tool [55, 56]. Unless otherwise noted, default parameters were used for all software tools.

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216 Metagenomic sequencing and bioinformation analysis

217 A total of 15 samples were used for shotgun metagenomic sequencing and analysis. 218 Metagenomic sequencing was performed at the SAGC (South Australian Genomics Centre, 219 Adelaide, Australia), and Macrogen Inc. (Seoul, South Korea), using the Illumina Novaseq 220 S1 platform with 150 bp paired ends reads. Read quality was assessed using FastQC [57]. 221 The SqueezeMeta v1.4 [58] pipeline was used for standard metagenomic analysis. SqueezeMeta uses Trimmomatic [59] for filtering and trimming of adapters before assembly 222 223 using MEGAHIT [60]. The resulting contigs were filtered for quality with short contigs 224 removed with Prinseq [40]. Gene and rRNA predication were performed utilizing the 225 Prodigal gene prediction software [61] while rRNA sequences were found using barrnap 226 [62]. AMR analysis was performed using SqueezeMeta v1.4 [58] by Diamond [63] against 227 version 3.1.4 of the CARD [45]. AMR gene abundance was calculated as transcripts per 228 million (TPM) mapped bacterial reads.

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230 Statistical analysis

Principal components analysis (PCA) was performed in R studio v.1.2.5033 and used here to visually compare the distribution of resistant *E. coli* isolates recovered from wastewater sampled from different sites (facilities). Statistical analysis, bar graphs and boxplots were generated using Graph Prism v9, with statistical differences between selected AMR gene prevalence and facility assessed by a two-tailed Mann-Whitney U test with results considered statistically significant at p < 0.05; p < 0.01 level.

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Data availability

Whole genome and shotgun metagenomic sequences were deposited in the NCBI database under Bio Project number PRJNA861152. Reads mapping to the human reference genome (GCA_000001405.15) were removed prior to submission to public sequence databases according to the protocol used in the Human Microbiome Project [64, 65].

243

244 RESULTS

Resistance profiles of the *E. coli* isolates reveals a high prevalence of resistance and high-level resistance

Wastewater sampling and analysis was used to evaluate the prevalence and antimicrobial resistance of *E. coli* in two local RACFs and one Retirement village. Since fluoroquinolone resistance and ESBL production is of particular concern for *E. coli*, selection of *E. coli* isolates was carried out with selective and differential media supplemented with ciprofloxacin or ceftazidime.

A total of 93 AMR *E. coli* isolates (n=58 from Facility 1, n=27 from Facility 2 and n=8 from a Retirement Village) were purified from wastewater samples. As expected, due to the selection process used in this study, resistance to ceftazidime and ciprofloxacin was observed for a large percentage of *E. coli* isolates, with 66.7 % (n=62/93) and 96.8 % (n=90/93) of all isolates shown to be ceftazidime and ciprofloxacin resistant respectively (Table 1). Interestingly, almost all the isolates were resistant to ciprofloxacin irrespective the media and antibiotic selection/non-selection used for isolation. Also, a high incidence of trimethoprim– sulfamethoxazole (n=51/93, 54.8 %) and gentamicin (n=47/93, 50.5 %) resistant *E. coli* was

also observed although resistance to these antibiotics were not selected for. Finally, resistance

to the last resort antibiotics meropenem (n=12/9 3, 20.7 %) and colistin (n=4/93, 4.3 %) was

262 observed, albeit at lower frequencies.

263

264 Table 1. MIC distribution for 93 *E. coli* isolates recovered from wastewater sampled from associated

265 RACFs and a retirement village.

	Number	r 01 E. col	a isolate	s with 1	wite (mg	g/L) at.										
Antibiotic	0.008	0.016	0.03	0.06	0.125	0.25	0.5	1	2	4	8	16	32	64	>64	%NWT
FEP	0	0	3	19	16	5	3	10	6	1	4	6	8	1	11	54
CAZ	0	0	0	0	4	13	14	0	0	1	1	5	18	21	16	67
PTZ	0	0	0	0	0	0	0	9	18	40	10	3	2	7	4	17
CIP	0	0	1	2	0	6	2	8	9	1	5	1	26	18	14	97
GEN	0	0	0	0	0	0	7	22	17	10	2	6	2	6	21	51
MER	8	15	39	19	2	2	5	2	1	0	0	0	0	0	0	13
SXT	1	1	16	11	7	4	2	0	7	0	1	43*	0	0	0	55
COL	0	0	0	0	0	0	13	50	26	0	0	4	0	0	0	4

Number of E. coli isolates with MIC (mg/L) at:

266 * MIC of 43 isolates >8 SXT

Vertical black lines indicate EUCAST ECOFF values. FEP, cefepime; CAZ, ceftazidime; PTZ, piperacillin tazobactam; CIP, ciprofloxacin; GEN, gentamicin; MER, meropenem; SXT, trimethoprim-sulfamethoxazole;

269 COL, colistin; NWT, non-wild-type

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271 Analysis of resistance profiles between facilities reveal a difference in the proportion of

272 multidrug resistant E. coli isolates

Analysis of resistance was determined using percentages representing the relative proportion of isolates characteristic of each facility. This was carried out to mitigate the limitation associated with each facility housing a different number of residents. Analysis revealed that out of the 58 isolates from Facility 1 that were assessed, 49 (84.5 %) were found to be nonsusceptible to the fourth-generation cephalosporin, cefepime and 57 (98.3 %) were nonsusceptible to the third-generation cephalosporin ceftazidime These results contrast with those obtained for Facility 2, with only two out of the 27 (7.4 %) *E. coli* isolates displaying 280 low to moderate levels of resistance against both cefepime (MICs of up to 2 mg/L) and 281 ceftazidime (MICs of up to 16 mg/L) (Figure 1a and Table 1).

282 MIC assays revealed high-level ciprofloxacin resistance of ≥ 64 mg/L (more than 1024 times 283 the ECOFF value) for 34.4 % (n=32/93) of all isolates recovered (Table 1). These isolates 284 were relatively equally spread between the two facilities (43.1 % and 25.9 % of the total 285 isolates from Facility 1 and 2 respectively). High-level resistance was also observed against 286 cefepime and ceftazidime with MICs of \geq 64 mg/L seen in 12.9 % (n=12/93) and 39.8 % 287 (n=37/93) of all isolates for cefepime and ceftazidime respectively. High-level resistance 288 against trimethoprim-sulfamethoxazole (>8 mg/L) was observed for 44 of the 93 isolates 289 (Table 1). Almost all of those isolates (41/58) were from Facility 1 (Figure 1a).

290 Facility 1 harboured a greater number of E. coli isolates resistant to multiple antibiotics 291 (Figure 1b). Facility 2 and Retirement E. coli isolates were observed to be primarily 292 gentamicin and ciprofloxacin resistant. Although all isolates assessed in this study were 293 resistant to at least one antibiotic, the MDR phenotype was primarily observed in E. coli 294 isolates recovered from Facility 1 (Figure 1b and c), with 54/58 (93.1 %) of the these shown 295 to be resistant to 3 or more antimicrobial classes tested in this study (Figure 1b and c). A 296 much lower frequency (n=5/27, 18.5 %) of MDR E. coli were recovered in Facility 2, which 297 was observed to harbour mostly non-MDR E. coli isolates.

The largest disparity observed between the isolates assessed in this study pertained to gentamicin resistance, with high levels of resistance (MICs of 64-128 mg/L) observed in 66.7 % (n=18/27) of Facility 2 *E. coli* isolates, and only 8.6 % (n=5/58) of Facility 1 isolates. These findings indicate that initial selection using ciprofloxacin and ceftazidime did not limit the overall results obtained in this study, and that the prevalence of resistant isolates was not determined by the number of occupants per facility. Finally, a low incidence of resistance was observed for both meropenem and colistin and was observed in isolates recovered fromFacility 1 only (Figure 1a).

306 To further explore the distribution and association between MDR E. coli and isolation site, a 307 PCA biplot was constructed (Figure 2). As can be seen, the nature and direction of correlation 308 between resistant isolates and facility indicate that Facility 1 clusters with isolates resistant to 309 numerous antibiotics – as such displaying an MDR phenotype, whilst Facility 2 clustered 310 with Retirement isolates displaying resistance to gentamicin and ciprofloxacin only. Unlike 311 isolates recovered from the two RACFs, which spanned out across the two components, 312 Retirement isolates clusters tightly and were grouped within the Facility 2 cluster. This 313 clustering was expected as the resistance profiles for Facility 2 and Retirement isolates were 314 comparable.

315

316 Genomic analysis and isolate typing of a subset of AMR E. coli

317 Of the 93 wastewater E. coli isolates analysed in this study, 35 were selected for WGS. 318 Selection was based on their antimicrobial resistance profile and time of sample collection 319 (Table S1). These were selected to further investigate genetic diversity and clonality of the 320 isolates over the sampling period. As a greater number of MDR E. coli were isolated from 321 Facility 1, these (n=24/35, 68.6 %) made up most of the samples sequenced. The remaining 322 sequences included seven (20 %) from Facility 2 and four (11.4 %) from Retirement samples. 323 Genotyping and MLST analysis were used here to investigate the genetic diversity of the 324 isolates sampled in this study. Results revealed 10 different sequence types (ST) and one 325 unknown sequence type among the 35 sequenced E. coli isolates (Figure 3). The pandemic 326 ST131 was observed in samples isolated from Facility 1 and represented the largest ST 327 (n=9/24, 37.5 %) of the isolates recovered from this facility. Moreover, two carbapenem 328 resistant E. coli isolates that belong to the international high-risk clone ST410 were detected 329 in Facility 1. Facility 2 was represented by ST1286 (n=4/7, 57.1 %), which was also observed 330 in Retirement isolates (n=2/4, 50 %). This sequence type is predominantly associated with 331 environmental and animal isolates [66, 67] and according to the EnteroBase database 332 (https://enterobase.warwick.ac.uk/) has not been isolated previously in Australia. We 333 observed two E. coli isolates of emerging pandemic clone ST1193 in Facility 2. This 334 sequence type is the most predominant non-ST131 fluoroquinolone resistant ST in the world 335 [68].

336

337 To further type the sequenced isolates, phylogroup analysis was carried out based on the 338 Clermont phylogroup typing method [51] (Figure 4). Of the seven main phylogroups, A, B1, 339 B2, C, D, E and F [69], 37.1 % (n=13/35) of the sequenced E. coli isolates were classified to 340 the increasingly MDR phylogroup B2. A higher prevalence (n=9/35, 25.7 %) of these isolates 341 originated from Facility 1. Phylogroup A, representing commensal strains, was the second 342 most prevalent phylogroup and observed with equal frequencies in Facility 1 and 2 (both 343 n=5/35, 14.3 %). Meanwhile, Retirement E. coli isolates were classified in to phylogroups A 344 and B only, mirroring Facility 2 isolates. Of the three different sampling sites, Facility 1 345 isolates represented the greatest diversity in terms of phylogroups, with groups C, D and F 346 also assigned to these isolates. Strains assigned to group C are closely related to phylogroup 347 B1 which represent commensal strains [70]. Phylogroup D isolates, like those classified to 348 group B2 are associated with virulent extra-intestinal E. coli infections [71]. Strains assigned 349 to phylogroup F have been found to be ESBL-producing and resistant to fluoroquinolones 350 [72].

Finally, core genome SNP analysis was used to assess clonal relatedness of all 35 sequenced
 E. coli isolates, with the results represented in a maximum likelihood phylogenetic tree

(Figure 5). Isolates were aligned to *E. coli* EC958 (accession number: NZ_HG941718.1),
which represents an international MDR strain. Analysis revealed a clustering of isolates by
facility, sequence type, susceptibility profile and phylogroup indicating clonality.
Nonetheless, variability between AMR determinants and plasmid replicons was also observed
between clonally related isolates.

358 Identification of *E. coli* AMR genes

359 Given the observations that the wastewater isolates assessed in this study displayed a similar 360 resistance profile per facility, we sought to evaluate their genotypic diversity and examined 361 their genomes for the presence of mobile AMR genes and potential plasmids. All these 362 wastewater isolates were shown to possess a plethora of AMR genes, contributing both to 363 their intrinsic and acquired resistance. Twenty-seven different AMR genes conferring 364 resistance to the compounds assessed in this study, which included cephalosporins, 365 carbapenems, β-lactam-**B**-lactaminhibitor combinations. fluoroquinolones, 366 aminoglycosides, trimethoprim, and sulphonamides, were identified (Figure 5). The extended 367 spectrum beta-lactamases (ESBLs) such as the bla_{CTX-M} type genes accounted for 37.3 % 368 (n=19/51) of the beta-lactamase genes detected in the wastewater samples. The bla_{CTX-M} type 369 genes (n=19) included *bla*_{CTX-M-15} (n=4/19, 21.1%), *bla*_{CTX-M-27} (n=9/19, 47.4 %) and *bla*_{CTX-} 370 M-62 (n=6/19, 31.6 %). Except for one $bla_{CTX-M-62}$ carrying strain isolated from Facility 2, all 371 other *bla*_{CTX-M} type genes were found in Facility 1 isolates. Non- ESBL beta-lactamases, 372 bla_{OXA-1} (n=4/35, 11.4 %) and $bla_{OXA-181}$ (n= 2/35, 5.7 %) were identified in isolates 373 recovered from Facility 1 only, whereas *bla*_{TEM-1} was detected in *E. coli* isolated from Facility 374 1 (n=8/35, 22.9 %), Facility 2 (n=5/35, 14.3 %) and retirement (n=2/35, 5.7 %). No 375 carbapenemase genes were detected despite meropenem resistance in 4 out of the 6 376 sequenced meropenem resistant E. coli isolates (Figure 5). However, these isolates harboured 377 at least one ESBL or AmpC beta-lactamase genes. Non-carbapenemase-producing low level 378 carbapenem-resistance in E. coli could be mediated by ESBL or AmpC beta-lactamases 379 associated with an overexpression of efflux pumps (such as AcrAB) or a loss of porin 380 (OmpF) expression [73, 74].

Bacteria with ESBL phenotypes are frequently found to harbour additional resistance genes.In this study, isolates were found to carry the plasmid-mediated quinolone resistance

383 (PMQR) genes, which were detected in Facility 1 isolated strains only (n=14/35, 40 %). Of 384 these, the most prevalent PMQR gene detected was qnrB4 (n=6/14, 42.9 %). Also only 385 isolated from Facility 1 was the ciprofloxacin and aminoglycoside modifying enzyme (aac-386 (6')-lb-cr), accounting for 14.3 % (n=5/35) of the total isolates detected. Genes mediating 387 aminoglycoside resistance such as *aac(3)-IId* were also detected in 7 out of 35 isolates (20 %) 388 and exclusively recovered from Facility 2 and Retirement, whereas aph3lb and aph6ld (both 389 n=13/35, 37.1 %), which are commonly found on plasmids, integrative elements and 390 chromosomal islands, were detected in wastewater isolates recovered from Facility 1. A 391 higher prevalence of the sulfonamide genes, sull (n=21/35, 60 %) and sull (n=15/35, 42.9 392 %) and trimethoprim dfrA17 (n=15/35, 42.9 %) resistance genes were also observed, with the 393 majority detected in Facility 1 isolates. Lastly, ciprofloxacin resistance due to point mutations was also investigated, with 32/35 (91.4 %) isolates shown to be phenotypically ciprofloxacin 394 395 resistant. Of these, 31/32 (96.9 %) were shown to possess mutations within gyrA, parC or 396 *parE* genes (Table S2).

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398 Prevalence of plasmid replicon types detected in AMR E. coli isolates

399 Plasmids are known to carry and distribute AMR genes such as ESBLs, carbapenemases, and 400 PMQR genes [75]. Due to their ability to transmit AMR genes to other bacteria, they can 401 enable the rapid evolution of bacteria in response to different environmental pressures [76]. 402 In this study, analysis of plasmids within the 35 sequenced wastewater E. coli isolates were 403 differentiated based on plasmid replicon types. The incompatibility groups (Inc) IncFIB 404 (n=22/35, 62.9 %) and IncFII (n=22/35, 62.9 %) accounted for the prevalent replicon types 405 detected in the E. coli isolates. All isolates were positive for at least one Inc or replicate (Rep) 406 group. Analysis of the most dominant plasmid replicon type by facility revealed Facility 1 407 isolates to harbour IncF (IncFIA, IncFIB, IncFIC and IncFII) type plasmids, Facility 2 Col 408 (ColBS512, ColMG828, ColMP18, Col440I and Col156) type plasmids, with Retirement 409 isolates harbouring both types with near equal distribution.

410

411 Relative abundance of bacterial families represented by metagenomics

412 To compare the complete wastewater resistome with data obtained from the *E. coli* isolates, 413 metagenomics sequences were obtained from all sample sites and used here to assess 414 taxonomy and prevalence of AMR in each site. Taxonomic annotation of the metagenomic 415 datasets revealed the 25 most abundant genera detected in Facility 1, 2 and Retirement 416 wastewater samples (Figure 6). Bacteria belonging to the Escherichia genus represented an 417 average of 3.6 % of bacteria in Facility 1, 0.7 % Facility 2 and 0.9 % in Retirement. A 418 comparison between Facility 2 and Retirement revealed a greater number of AMR E. coli 419 isolates recovered from Facility 2 despite Retirement presenting an overall greater abundance 420 of these organisms. This exemplifies the importance of culturing in the assessment of 421 prevalence of AMR or MDR organisms within wastewater samples.

422

423 Occurrence and abundance of AMR genes in wastewater samples

Given the phenotypic-genotypic relationship in *E. coli* [77-79], this study focused on acquired resistance genes, which, as a result of horizontal gene exchange, have rendered many important pathogens such as MDR *E. coli*, potentially unresponsive to current treatment. The focus of this study included the ESBL bla_{CTX-M} , beta-lactamases bla_{OXA} , bla_{TEM} and bla_{IMP} , the dihydrofolate reductase genes dfrAl and the dihydropteroate synthases *sul1* and *sul2*, which confer bacterial resistance against trimethoprim and sulphonamide. In addition to these, PMQR genes *qnrB* and *qnrS* genes and *aac(6')-Ib-cr* gene (cr for 431 ciprofloxacin resistance) were also evaluated. Finally, prevalence of gentamicin resistance 432 was explored by the assessment of the aac(3)- and aac(6') – genes.

433 A higher abundance of most of the acquired resistance genes assessed in this study, by a 434 comparison of TPM values obtained for the AMR genes, were detected in samples 435 originating from Facility 1 (Figure 7a). The exception to this were Facility 2 samples which 436 had a larger proportion of the gentamicin resistance genes aac(3)- and aac(6'), and 437 Retirement samples which had a higher prevalence of the beta-lactamase bla_{IMP}. The 438 differences between Facility 1 and 2 samples regarding the number of the different AMR 439 genes assessed here were determined to be significant (p < 0.05; Figure 7b). Differences were 440 seen in beta-lactamase bla_{CTX-M}, bla_{OXA} genes, the dihydrofolate reductase dfrA1 genes, the 441 3-N-aminoglycoside acetyltransferase *aac(3)* and the 6'-N-aminoglycoside acetyltransferase 442 aac(6') genes, as well as the sulfonamide sull and sull genes and the quinolone antibiotic 443 resistance genes *qnrS*. These results clearly show that Facility 2 has a lower relative 444 abundance of the mobile AMR genes assessed in this study, all of which have been associated 445 with human pathogens. No significant differences were found for the AMR abundance 446 between Facility 2 and Retirement samples.

447

448 **DISCUSSION**

The rise of AMR and emergence of bacteria that are resistant to multiple classes of antibiotics presents a global threat to human health [80]. Measures taken to fight resistance include broadening our understanding of the selective pressures driving AMR to be able to mitigate them. RACFs have been identified as sites harbouring elevated levels of AMR bacteria and presenting an environment which is highly selective to their development and emergence [81, 82]. 455 In this study, the prevalence of AMR E. coli was used as an indicator of the incidence and 456 persistence of bacterial resistance in two RACFs, one of which has implemented an AMS 457 program (Facility 2), whilst the other has not (Facility 1). Assessment of the prevalence of E. 458 coli in these two RACFs and in one Retirement village was carried out with an in-depth 459 analysis of 93 AMR E. coli isolates recovered from wastewater samples, collected over five 460 different time points. Results revealed a high proportion of MDR E. coli isolates (66.7 %) 461 which were more frequently recovered from Facility 1. Isolates recovered from Facility 1 462 displayed high levels of resistance against ceftazidime, cefepime and ciprofloxacin with MIC 463 values of >128, >512 and >1024 times above the ECOFF. While Facility 2 isolates displayed 464 high-level gentamicin resistance, with MIC values of >64 mg/L (>32 times above the 465 ECOFF), a trend not observed in Facility 1 E. coli isolates. These results highlight several 466 concerns, including the high prevalence of ESBL producing E. coli in Facility 1 and the 467 number of ciprofloxacin resistant E. coli isolates prevalent in both facilities.

468 According to the Antimicrobial Use and Resistance in Australia (AURA) Surveillance 469 System (2019) rates of resistance for many priority organisms, including E. coli, have not 470 changed significantly over the last 2 years. However, there are several exceptions to this, 471 including increasing rates of resistance observed in E. coli against commonly used agents 472 such as ceftriaxone, a third-generation cephalosporin and ciprofloxacin, amongst other 473 fluoroquinolones, despite restricted access in the community and guidelines advising these 474 not to be prescribed when other options are available, and if needed to be prescribed for the 475 shortest duration clinically possible (AURA, 2019). Of the two cephalosporins assessed here, 476 higher rates (66.7 %) of resistance were seen for the third-generation ceftazidime, than for the 477 fourth-generation extended spectrum agent, cefepime (53.7% of all isolates). Whole genome 478 sequencing of ESBL producing E. coli revealed the presence of CTX-M type genes assigned 479 to ST131 isolates. This sequence type is a major contributor to both sepsis and urinary tract 480 infections (UTIs) in Australia [83]. Moreover, phylo-typing assigned these isolates to the B2 481 phylogenetic group. This group is frequently associated with CTX-M-15 producing E. coli 482 [84], although in this study was associated with CTX-M-27 producing isolates. Globally, 483 CTX-M-15 producing strains are recognised as the main drivers of the pandemic spread of 484 this sequence type [85]. However, genomic comparisons of ST131 isolates have shown that 485 both CTX-M-15 and CTX-M-27 producing isolates dominate in Australia [83]. CTX-M-27 486 ESBLs were also initially postulated to be confined to ST131 [86], however, these have now 487 also been identified in ST38 E. coli isolates, as also observed in this study, suggesting an 488 emergence of new ESBL-producing clones [87]. The dissemination and continual emergence 489 of these ESBLs and others are facilitated by their presence on plasmids belonging to the IncF 490 group [88], amongst others, which were also detected here. This plasmid group is often 491 associated with *bla*_{CTX-M-15}, *bla*_{TEM-1}, *bla*_{OXA-1} and *aac(6')lb-cr* resistance genes, all of which 492 were detected here and largely in isolates recovered from Facility 1.

The isolation of ST131 in this study highlights the continual spread of this pandemic clonal group. Although a worrying trend, the presence of ST131 *E. coli* isolates within RACFs has been observed worldwide [89, 90]. It has been postulated that isolates of this sequence type have superior transmission and colonisation abilities, allowing for rapid global proliferation [91-93]. These characteristics coupled with the intrinsic nature of RACFs, and residents therein makes the presence of this clonal group within RACFs a significant concern.

In addition to being resistant to extended spectrum cephalosporins, ST131 *E. coli* clones are also associated with fluroquinolone resistance [94] which can be coupled with aminoglycoside and trimethoprim-sulfamethoxazole resistance [92]. In this study, all ST131 isolates were ciprofloxacin resistant and except for one isolate, trimethoprimsulfamethoxazole resistant. Worryingly, two isolates were extensively drug resistant with resistance against all the tested classes of antibiotics observed. Fluroquinolone resistance 505 continues to be of concern and was observed in this study with high levels of ciprofloxacin 506 resistance (MICs of > 64 mg/L) detected in isolates recovered from both facilities, and in 507 Retirement samples. According to the Australian Group of Antimicrobial Resistance (AGAR) 508 Sepsis Outcome Programs, 2021, rates of fluroquinolone resistant E. coli have continued to 509 increase from 13.7 % in 2013 to 21.8 % in 2021. Increasing trends have also been observed 510 in Europe with the European Antimicrobial Resistance Surveillance Network (EARS-Net) 511 reporting 25.3 % fluoroquinolone resistant E. coli isolated in 2018, representing an increase 512 from previous years [95]. This upward trend is also reported in *E. coli* isolated in Asia as well 513 as North America [96]. Various theories have been presented regarding the acquisition of 514 fluoroquinolone resistant E. coli including possible colonisation with these as a result of 515 hospital admissions [97], the continual use of these in treatment of UTIs [98] and clonal 516 spread [99].

Analysis of fluoroquinolone resistance genes within WGS isolates revealed the presence of numerous ciprofloxacin resistance genes including the transferable plasmid-mediated quinolone resistance (PMQR) determinants *qnr* and *aac(6)-Ib-cr*. The presence of these is of concern as these are able to be transferred to other bacteria, are often associated with elements such as insertion sequences, transposons, phages and plasmids which enhances their transferral and can be accompanied by other mobile resistance genes [100].

Metagenomic analysis of the prevalence of these and other mobile resistance genes within the sampled wastewater revealed that Facility 1 harboured a greater number of mobile resistance determinants. A limitation of using the metagenomic method for the assessment of bacterial and AMR gene abundance is that each sample of wastewater represents a single point in time. As such, wastewater components may be influenced by the amount of faecal matter in the samples, the activities being performed at the time of collection, such as cleaning or washing and the detergents being used, all of which may alter the microbial and antimicrobial gene 530 abundance within each sample. To minimise this limitation, sites were sampled over a 10-531 hour period at five different time points throughout an 18-month period and samples assessed 532 by microbial culturing and metagenomics. To ensure that the data are not influenced by the 533 number of people, flow rate at the timepoints of grab sample collection or any other potential 534 variables, the data obtained from metagenomics was normalised and AMR gene abundance 535 was calculated as transcripts per million mapped bacterial reads. In addition to Facility 1 536 samples, which displayed consistently higher levels of bacteria belonging to the Escherichia 537 genus, metagenomic results also revealed a higher prevalence of these in Retirement 538 wastewater samples when compared to Facility 2, but an overall lower prevalence of AMR E. 539 coli upon culturing. As such, the higher prevalence of AMR E. coli, as identified by 540 microbial culturing and metagenomics for all five sampling periods, is indicative of a general 541 trend only seen for Facility 1. This trend was also observed with respect to mobile resistance 542 genes, with Facility 1 displaying a comparatively higher abundance of certain resistance 543 genes.

Analysis of the mobile resistance genes revealed plasmid-mediated resistance genes, some of which are associated with Class 1 integrons that have been isolated from AMR clinical isolates belonging to both the Enterobacteriaceae and Pseudomonaceae families [101]. Having the ability to integrate gene cassettes which confer resistance to a broad range of antibiotics has made the prevalence of Class 1 integrons a proxy for bacterial resistance [102]. As such, the greater presence of the mobile resistance genes may be indicative of a higher presence of AMR bacteria in Facility 1 wastewater.

Limitations of this study include that only two RACFs were assessed and compared, and that these differed in the number of residents residing in them. However, all variables that were reported in this study were the same between the facilities, for example the age of the cohort and type of care provided. Moreover, the two facilities were managed by the same age care 555 provider, which runs additional facilities in Australia. It is possible that there could have been 556 differences in variables that were not measured as part of this study such as the socio-557 economic status of the demographic, duration of stay, staff turnover number or age of the 558 facility. To overcome variations in resident numbers and isolate prevalence, percentages were 559 utilized for standardized comparisons, allowing meaningful assessments across facilities in 560 the study. However, to our knowledge this is the first study to include such a comprehensive, 561 all-encompassing testing protocol. This study has included isolation of E. coli and culture 562 based genotypic AMR analysis, genomic analysis of the resistome of individual organisms 563 and metagenomic sequencing and analysis of the total wastewater resistome. It is also the 564 first time that a direct comparison of two RACFs has been undertaken, with a spotlight on 565 AMR.

566 The findings of this study offer an insight into bacterial resistance and the prevalence thereof 567 within RACFs. Here, one facility was found to harbour higher rates of AMR E. coli, 568 including the pandemic clone ST131. Moreover, metagenomic analysis revealed a higher 569 incidence of mobile resistance determinants in wastewater sourced from this facility. The 570 inclusion of microbial culturing in combination with whole genome sequencing and 571 metagenomic analysis has allowed for a more complete assessment of the RACFs evaluated 572 in this study. Based on the differences in the prevalence of AMR E. coli and mobile 573 resistance genes within the RACFs assessed here, our results show that each facility presents 574 a unique environment which may select for resistance and possible transmission of AMR 575 bacteria. Mitigating this may be the implementation of an AMS program, which was present 576 in Facility 2. However, it is understandable that the implementation of AMS programs in 577 RACFs can be complicated and is dependent on numerous factors including access to 578 resources, staff education and a high prevalence of bacterial colonisation and challenges in

diagnosing and treating infections [103]. Nonetheless, the benefits of such programs can helpmitigate the spread and continual development of AMR.

581 Currently there are significant gaps in the surveillance of AMR and MDR organisms within 582 RACFs. Our understanding of the colonisation of such organisms in RACF residents is also 583 lacking, as is knowledge pertaining to the risk factors which aid both the development of 584 AMR and potential spread of both AMR and MDR organisms and high-risk antimicrobial 585 genes from RACFs to the wider community. The results generated in this study highlight the 586 need for ongoing surveillance studies focussing on RACFs. Which, given our ageing 587 population, are greatly needed to address and possibly mitigate the ongoing threat of AMR.

588

589 SUPPLEMENTARY MATERIAL

590 Supplemental 1. AMS Strategies

591 Table S1. Overview of antibiotic resistance profiles, with MIC and gene profiles (0 = absent 592 or 1 = present) of wastewater *E. coli* isolated from Facility 1 and 2 and from Retirement, 593 Adelaide, Australia.

Table S2: GyrA, ParC and ParE mutations in 35 wastewater *E. coli* isolates corresponding
ciprofloxacin MICs.

596

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610

611 **Figure 1**: Facility 1 shows the highest prevalence of MDR *E. coli* isolates. (A) Percentage of total 612 AMR *E. coli* isolates per facility and retirement village. (B) Prevalence of *E. coli* isolates (n=93) per 613 facility and number of antibiotics (total n=8) each isolate was resistant against. (C) Number of MDR 614 and non-MDR *E. coli* isolates per facility. Facility 1 n=58, Facility 2 n=27 and Retirement n=8. FEP, 615 cefepime; CAZ, ceftazidime; PIP-TAZ, piperacillin-tazobactam; CIP, ciprofloxacin; GEN, 616 gentamicin; MER, meropenem; SXT, trimethoprim-sulfamethoxazole; COL, colistin, Ab (s), 617 antibiotic(s).

618

Figure 2: Principal component analysis (PCA) plot shows clustering of MDR *E. coli* isolates recovered from Facility 1 (blue) relative to isolates which are resistant to gentamicin (GEN) and ciprofloxacin (CIP) only and recovered from Facility 2 (red) and Independent Living Facility (Retirement, grey). Ellipses were drawn at a confidence level of 0.95. CEP, cefepime; CTZ, ceftazidime; PIT, piperacillin-tazobactam; CIP, ciprofloxacin; GEN, gentamicin; MER, meropenem; TRS, trimethoprim-sulfamethoxazole; COL, colistin.

625

Figure 3. Distribution and percentage of sequenced *E. coli* isolate MLSTs per facility and retirement
 village. Facility 1 n=24, Facility 2 n=7 and Retirement n=4.

628

Figure 4: Phylogroup distribution of 35 *E. coli* isolates from two RACFs and a Retirement site.
Facility 1 n=24, Facility 2 n=7 and Retirement n=4.

631

632 Figure 5: Phylogenetic SNP analysis of 35 AMR E. coli isolated from RACFs and a Retirement 633 Village in South Australia, corresponding resistance profile presence of AMR determinants and 634 plasmid replicons. SNP analysis was performed using the isolate EC958 (accession number: NZ HG941718.1) as the reference genome. Vertical columns demonstrate: (1) isolation site, (2) 635 636 phylogroups (3) resistance phenotype: resistant (filled squares) and sensitive (empty squares) (4) 637 resistance genotype including plasmid mediated quinolone resistance (PMQR): presence (filled 638 circles) and absence (empty circles) of acquired resistance genes (5) mutations in the quinolone 639 resistance-determining regions: present (blue filled rectangle) and absent (empty rectangle) (7) 640 predominant plasmid replicon types: presence (filled left side triangles) and absence (empty left side 641 triangles). FEP, cefepime; CAZ, ceftazidime; PIT, piperacillin-tazobactam; CIP, ciprofloxacin; GEN, 642 gentamicin; MER, meropenem; SXT, trimethoprim-sulfamethoxazole; COL, colistin.

643

644 Figure 6. Relative abundance (%) of the top 25 genera identified in wastewater collected from two

645 RACFs and Retirement samples. Red bars represent bacteria belonging to the *Escherichia* genus.

646

647 Figure 7: Distribution of mobile resistance genes in wastewater from RACFs and an independent 648 living facility (Retirement). (A) Heatmap of distribution and abundance clustering of resistance genes. 649 AMR genes are shown on the right vertical axis. Hierarchical clustering was carried out on both 650 resistance genes and sites. AMR abundance is normalised as TMP (transcripts per million reads). (B) 651 Boxplots of select AMR gene reads per ten million (TPM) per facility. Each sample is represented by 652 a dot with horizontal jitter for visibility. The horizontal box lines represent the first quartile, the 653 median, and the third quartile. Whiskers represent the range of points within the first quartile $-1.5\times$ 654 the interquartile range and the third quartile $+ 1.5 \times$ the interquartile range. Differences were 655 considered statistically significant at * p < 0.05; **p < 0.01 level, determined by a two-tailed Mann-656 Whitney U test.

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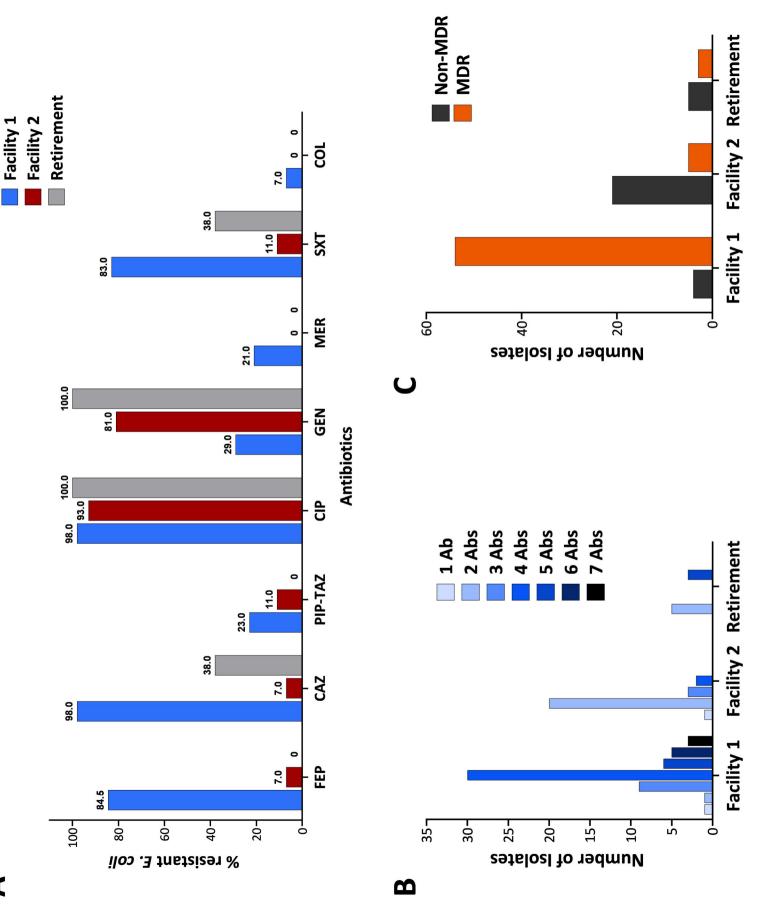
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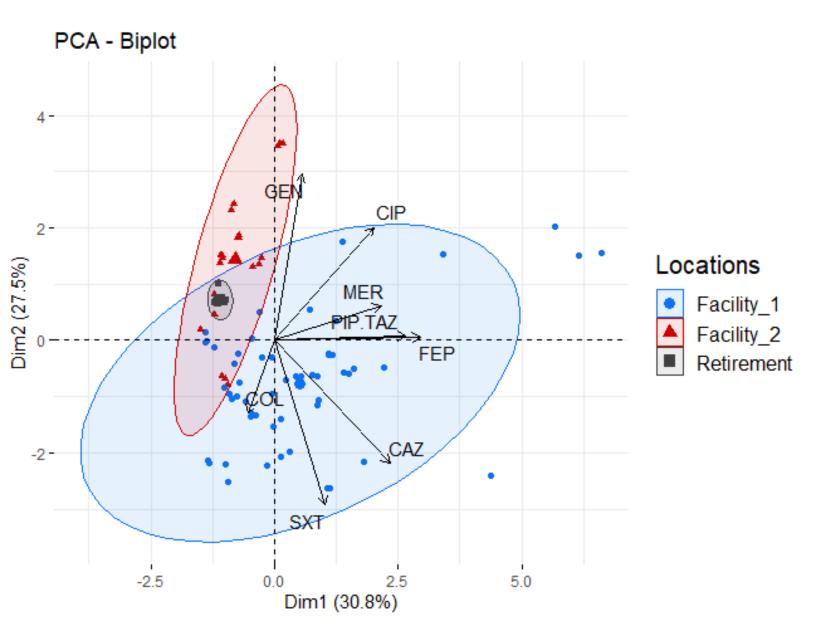
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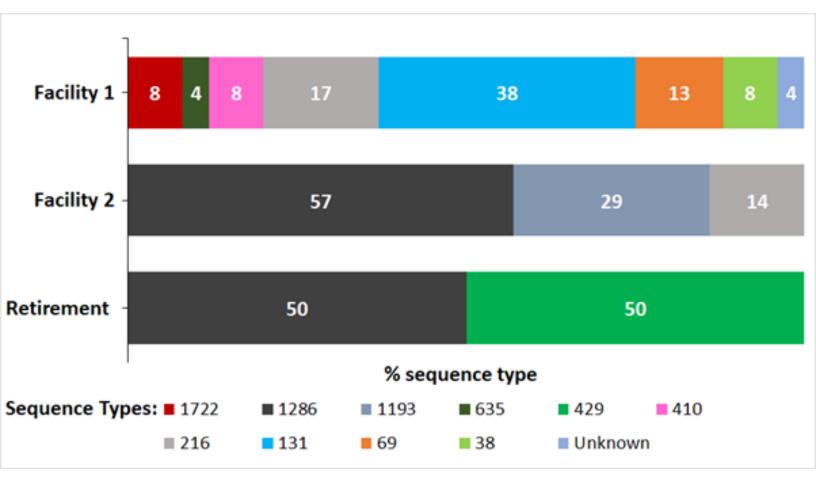
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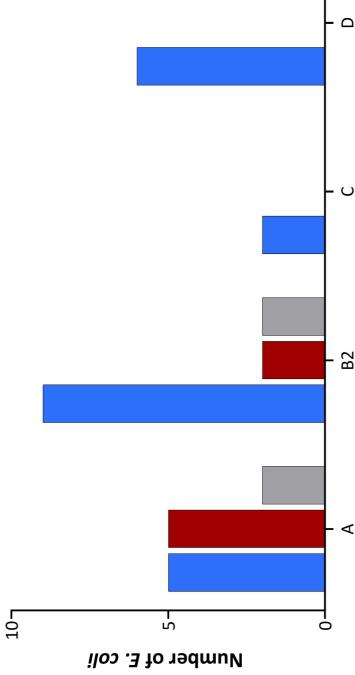
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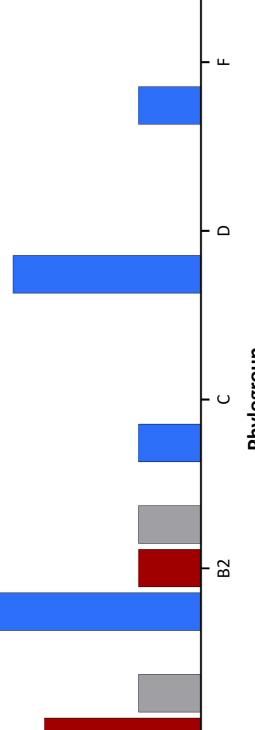












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